QVI risk solutions inc

QVI Risk Solutions is committed to providing each client quality and timely service at the least possible cost. The following fees should be applicable to most 401(k) plans. In some cases additional time may be required, due to unique features of the plan or in providing assistance to the employer.

PLAN DOCUMENT SETUP

The fee includes corporate resolutions and adoption of a new 401(k) plan or the restatement of an existing 401(k) plan. The price assumes the use of prototype or volume-submitter documents and includes preparation of the Summary Plan Description (SPD).

New plan documents:	$725
Allocation report if needed for transferred assets:	$150 to $600
Plans not using our documents:	$90 annually

ANNUAL ADMINISTRATION SERVICES

Services include compliance testing, vesting updates, eligibility determination, trust accounting and preparation of form 5500.

1 to 5 participants:	$500 plus $25 per participant
6 to 14 participants:	$575 plus $25 per participant
15 to 29 participants:	$650 plus $20 per participant
30 to 50 participants:	$725 plus $18 per participant
51 to 99 participants:	$1,000 plus $15 per participant
100 or more participants	Special Rating

These fees assume all investing is done through one investment company, which provides QVI with annual activity and balances by money type.

OTHER SERVICES

Loan fee:	$95 paid by participant
Distribution fee:	$50 per occurrence
SPD booklets with beneficiary forms and salary deferral agreements:	$5 each
Preparation of reports and assistance for plans with annual audit requirements, accounting for additional investment companies, calculating earnings on accounts cross-testing, multiple employer plans, plan amendments etc.	$25 to $175 per hour, depending on service provided

QVI Risk Solutions, Inc.
1011 Emkay Drive, Suite 209 | Bend, Oregon 97702
Phone: 541-312-8512 or 800-408-9717 | Fax: 541-312-8524